



KING COUNTY

1200 King County Courthouse
516 Third Avenue
Seattle, WA 98104

Signature Report

March 28, 2016

Motion 14600

Proposed No. 2016-0116.1

Sponsors Kohl-Welles

1 A MOTION accepting the department of community and
2 human services Behavioral Health Integration IT Data
3 Consolidation Project Status Report 2, as required by
4 Ordinance 18110, Section 60, Proviso P10, which amended
5 the 2015/2016 Biennial Budget Ordinance, Ordinance
6 17941, Section 60.

7 WHEREAS, in September 2015, Ordinance 18810, Section 60, Proviso P10,
8 which was an amendment to Ordinance 17941, Section 129, as amended, required the
9 executive to develop and submit for council review and approval two reports by
10 November 16, 2015, and February 15, 2016, related to the department of community and
11 human services behavioral health integration information technology data consolidation
12 in compliance with Ordinance 18110, Section 60, Proviso P10, which was an amendment
13 to the 2015/2016 Biennial Budget Ordinance, Ordinance 17941, Section 129, as
14 amended, and

15 WHEREAS, in September 2015, Ordinance 18810, Section 60, Proviso P10,
16 which was an amendment to Ordinance 17941, Section 129, as amended, also directed
17 that \$400,000 appropriated for the department of community and human services
18 behavioral health integration project 1126543 shall not be expended or encumbered until

19 the executive transmits the "two status reports on expenditures to date and dependencies
20 and motions that accept the reports," and

21 WHEREAS, Ordinance 18110 provided that upon transmittal of each report,
22 \$200,000 shall be released for expenditure, and

23 WHEREAS, Ordinance 18110 required the following information to be included
24 in each report:

- 25 1. Enumeration of the expenditures to date;
- 26 2. The status of state of Washington dependencies and their impact on capital
27 project 1126543 including the status of the state database with which the county database
28 must interface and what guidance the state has provided with regard to federal
29 confidentiality rules, and
- 30 3. The status and timeline of provider readiness, including and technical
31 assistance provided or scheduled, to interface with the new system, and

32 WHEREAS, the executive must file the reports required by Ordinance 18110,
33 Section 60, Proviso P10, by November 16, 2015, and February 15, 2016, and

34 WHEREAS, the information technology data consolidation status report has been
35 reviewed and approved by the department of community and human services;

36 NOW, THEREFORE, BE IT MOVED by the Council of King County:

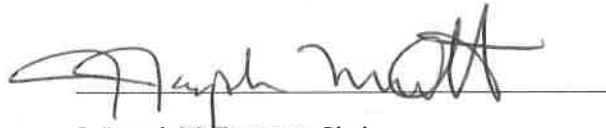
37 The department of community and human services Behavioral Health Integration

38 IT Data Consolidation Project Status Report 2, Attachment A to this motion, is hereby
39 accepted.
40

Motion 14600 was introduced on 2/22/2016 and passed by the Metropolitan King
County Council on 3/28/2016, by the following vote:

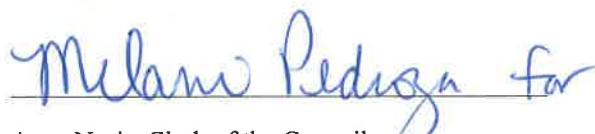
Yes: 8 - Mr. von Reichbauer, Mr. Gossett, Ms. Lambert, Mr. Dunn,
Mr. McDermott, Mr. Dembowski, Mr. Upthegrove and Ms. Balducci
No: 0
Excused: 1 - Ms. Kohl-Welles

KING COUNTY COUNCIL
KING COUNTY, WASHINGTON



J. Joseph McDermott, Chair

ATTEST:



Anne Noris, Clerk of the Council

Attachments: A. DCHS Behavioral Health Integration IT Data Consolidation Project Status Report 2

DCHS Behavioral Health Integration IT Data Consolidation Project Status Report 2

A. Budget and Expenditures to Date

The DCCH Behavioral Health Integration (BHI) IT project has a total Capital Appropriation of \$982,633 including contingency of \$199,452. Total project spending to date is \$277,544; the spending breakdown is as follows:

	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
BSS								
Functional Analyst		\$ 12,886	\$ 17,182		\$ 17,182	\$ 17,182	\$ 17,182	\$ 81,614
QA Analyst							\$ 17,980	\$ 17,980
PMO								
Project Manager	\$ 8,426	\$ 8,426	\$ 8,426	\$ 8,426	\$ 8,426	\$ 8,426	\$ 8,426	\$ 58,980
SVE								
Hardware/Software						\$ 14,610		\$ 14,610
Kazer								
Hardware/Software				\$ 18,948				\$ 18,948
Zones								
Hardware/Software					\$ 1,629			\$ 1,629
ABN Technologies								
QA Analyst					\$ 7,985	\$ 18,779	\$ 7,020	\$ 33,784
Total	\$ 8,426	\$ 21,312	\$ 25,608	\$ 27,373	\$ 35,221	\$ 58,996	\$ 50,608	\$ 227,544

B. The status of state dependencies and their impact on the project including the status of the state database with which the County database must interface and what guidance the state has provided with regards to federal confidentiality rules

State Data System

On January 4, 2016 the state Division of Behavioral Health and Recovery (DBHR) held a meeting where final requirements were expected to be provided. The state again delayed the release of the final versions of the elements listed below until January 15, 2016.

- BHO Data Dictionary
- BHO Access to Care (ACS) Guide
- Service Encounter Reporting Instructions (SERI) Guide

The BHI IT team has mitigated these delays by moving forward with development of our internal provider data dictionary. A draft version of the data dictionary was provided to our partners on January 8, 2016.

At this time, the delays to the ACS and SERI guides are expected to be low impact due to the architecture of the King County Behavioral Health IT system. The final elements in the ACS and SERI guides will be added to our system by updating configuration tables which can be done with minimal effort.

Confidentiality

The Behavioral Health and Recovery Division (BHRD) conducted a comprehensive study of the Legal Action Center's *Guide to the Federal Drug and Alcohol Confidentiality Law and Health Insurance Portability and Accountability Act (HIPAA)*. The Legal Action Center is considered the national authority on 42 CFR. It is clear from the guide that King County, as the behavioral health organization, can receive substance use data and store it in a single database with the mental health data. This was confirmed by the King County prosecuting attorney's office (PAO). King County Information Technology (KCIT) is modifying the current data system to accommodate the collection of substance use disorder (SUD) data as required by the state and KCBHO operational needs. A release of information form (ROI) has been developed to authorize contracted agencies to share confidential SUD data with the King County behavioral health organization (KCBHO). This ROI was shared with providers on January 20, 2016 as part of a provider forum to prepare for transition and providers were trained on the use of this ROI. Client consent on this ROI is required in order for the client to receive services through the KCBHO. If a client refuses to sign this ROI, the agency cannot share client data with the KCBHO. As a result, the KCBHO will not be able to authorize benefits or make payment to providers.

KCBHO is further limited as to what information can be shared within the provider network without a proper Release of Information (ROI) signed by the client. BHRD staff, in consultation with the PAO, has created an ROI that authorizes the KCBHO to make limited client information available to other providers within the KCBHO network for purposes of coordination of care. This ROI is not required to receive services. If a client refuses to sign this ROI, all client SUD data will be protected and not available to other agencies within the network. This ROI was provided to agencies at the January 20, 2016 provider forum and providers were trained on the use of this form. A field will be added to the integrated database to track ROIs received from providers to indicate client consent. This flag will be used to determine if the client data can be shared within the provider network and displayed on application screens, queries, and reports.

Finally, BHRD has created new Business Associate Agreements (BAA) for SUD only providers who currently do not submit electronic data to the King County data system. This BAA will allow new providers to connect with the data system for the purposes of testing data transactions and submissions prior to April 1, 2016. The BAAs are being routed for County and Agency signature and should be in place no later than mid-February to allow testing to begin.

C. Status and timeline of provider readiness

To mitigate the delays in final state requirements the King County BHO IT project has implemented a number of measures. A primary mitigation strategy has been the development and implementation of a manual data load interface. This tool will allow SUD providers to manually enter data in a web form. The

data entered will then be loaded into the IT system via the standard batch process. This should significantly reduce the immediate burden to the SUD providers as this system is similar to the TARGET system already in use by the state.

In addition, the business and IT team have identified team members who are acting as provider liaisons. These individuals are in close contact with the providers and are supporting them through assistance in modifications to electronic health system, training on the manual data entry tool, and tracking of third party vendor readiness.